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United States Patent and Trademark Office UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov ATTORNEY DOCKET NO. CONFIRMATION NO. FIRST NAMED INVENTOR APPLICATION 10/540,378 06/23/2005 James L McNaughton S-0796 US 6650 11/16/2007 **EXAMINER** SIEBERTH & PATTY, LLC THOMAS, TIMOTHY P **4703 BLUEBONNET BLVD** BATON ROUGE, LA 70809 PAPER NUMBER 1614 MAIL DATE **DELIVERY MODE** 11/16/2007 PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)			
	10/540,378	MCNAUGHTON, JAMES L			
Office Action Summary	Examiner	Art Unit			
	Timothy P. Thomas	1614			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the	e correspondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period who is a failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION  TO SHOW THIS COMMUNICATION  TO	ON. timely filed om the mailing date of this communication. NED (35 U.S.C. § 133).			
Status		·			
1) Responsive to communication(s) filed on 23 Ju	<u>ıne 2005</u> .				
•	action is non-final.				
3) Since this application is in condition for allowar	nce except for formal matters, p	prosecution as to the merits is			
closed in accordance with the practice under E					
Disposition of Claims					
4) Claim(s) 1-31 is/are pending in the application.					
4a) Of the above claim(s) is/are withdraw	wn from consideration.				
5) Claim(s) is/are allowed.					
6) Claim(s) is/are rejected.					
7) Claim(s) is/are objected to.					
8) Claim(s) <u>1-31</u> are subject to restriction and/or e	election requirement.				
Application Papers					
9) The specification is objected to by the Examine	r.				
10) The drawing(s) filed on is/are: a) acc					
Applicant may not request that any objection to the					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).					
11)☐ The oath or declaration is objected to by the Ex	caminer. Note the attached Offi	ce Action or form PTO-152.			
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119	(a)-(d) or (f).			
a) All b) Some * c) None of:					
· · · · · · · · · · · · · · · · · · ·					
	2. Certified copies of the priority documents have been received in Application No				
3. Copies of the certified copies of the priority documents have been received in this National Stage					
application from the International Bureau		ived			
* See the attached detailed Office action for a list	of the certified copies not rece	ived.			
·					
Attachment(s)		(PTO 413)			
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summ Paper No(s)/Mai	l Date			
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	5) Notice of Inform 6) Other:				

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## **DETAILED ACTION**

### Election/Restrictions

1. Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group I, claim(s) 16-28, drawn to a composition.

Group II, claim(s) 1-15, 29-31, drawn to a method of preventing or controlling bovine mastitis.

2. The inventions listed as Groups I-II do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

The technical feature linking groups I-II is a composition comprising either A) an aqueous microbiocidal solution of one or more active halogen species containing a product of sulfamate anion and bromine, chlorine and/or brominechloride or B) an aqueous microbiocidal solution of at least one 1,3-dihalo-5,5-dialkylhydantoin or both A) and B). Rees et al. (US 6,471,974 B1; 2002 Oct; filed 1999) teach antimicrobial N-chlorosulfamate (reaction product of chlorine with a sulfamate anion) solutions that may include 5,5-diakyl hydantoins (abstract) and the optional ingredient 1,3-dibromo-5,5-dimethylhydantoin (a specie of (B); column 6, lines 60-61); along with thickening agents (col. 6, line 66). The formulations are taught to be useful in a variety of applications,

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such as in process streams and cooling towers (col. 14, lines 13-18); they would also be suitable for controlling bovine mastitis.

Since compositions anticipating the instant claims are taught in the prior art, the technical feature lacks novelty. Therefore, the technical feature linking the inventions of groups I-II does not constitute a special technical feature as defined by PCT Rule 13.2 as it does not define a contribution over the prior art.

Accordingly, Groups I-II are not so linked by the same or a corresponding special technical feature as to form a single inventive concept.

This application contains claims directed to more than one species of the generic 3. invention. These species are deemed to lack unity of invention because they are not so linked as to form a single general inventive concept under PCT Rule 13.1.

The species are as follows:

For either group is elected, applicant is also required to elect whether the composition is comprised of (claims 1, 16):

- (i) composition (A) (claims 7, 20); if elected specify a single disclosed halogen or a single disclosed halogen combination that is reacted with sulfamate anion from:
  - (i-a) bromine;
  - (i-b) chlorine;
  - (i-c) brominechloride;
  - (i-d) a combination of two of (i-a)-(i-c); if elected specify which two; or
  - (i-e) a combination of all three of (i-a)-(i-c);

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(ii) composition (B) (claims 11, 24); if elected also specify a single disclosed 1,3-dihalo-5,5-dialkylhydantoin compound specie (e.g., from species recited in claims 13, 15, or as otherwise disclosed in the specification); or

(iii) a combination of (A) and (B); if elected, also specify the components of each composition, as outlined under (i) and (ii).

Applicant is required, in reply to this action, to elect a single species to which the claims shall be restricted if no generic claim is finally held to be allowable. The reply must also identify the claims readable on the elected species, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered non-responsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

- 4. The claims are deemed to correspond to the species listed above in the following manner:
- (i) Claims 1-10, 16-23, 29-31
- (ii) Claims 1-6, 11-19, 24-31
- (iii) Claims 1-6, 16-19, 29-31

The following claim(s) are generic: Claims 1-6, 16-19, 29-31.

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5. The species listed above do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, the species lack the same or corresponding special technical features for the following reasons:

As outlined above, Rees teaches a composition anticipating one or two of the above species. The species lack novelty; since the technical feature linking the species has been taught in the prior art, the technical feature linking the species does not constitute a special technical feature as defined by PCT Rule 13.2. Accordingly, the species are not so linked by the same or a corresponding special technical feature as to form a single general inventive concept.

6. Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species or invention to be examined even though the requirement be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention or species may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

Should applicant traverse on the ground that the inventions or species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions or species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions

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unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C.103(a) of the other invention.

The examiner has required restriction between product and process claims. 7. Where applicant elects claims directed to the product, and the product claims are subsequently found allowable, withdrawn process claims that depend from or otherwise require all the limitations of the allowable product claim will be considered for rejoinder. All claims directed to a nonelected process invention must require all the limitations of an allowable product claim for that process invention to be rejoined.

In the event of rejoinder, the requirement for restriction between the product claims and the rejoined process claims will be withdrawn, and the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. 101, 102, 103 and 112. Until all claims to the elected product are found allowable, an otherwise proper restriction requirement between product claims and process claims may be maintained. Withdrawn process claims that are not commensurate in scope with an allowable product claim will not be rejoined. See MPEP § 821.04(b). Additionally, in order to retain the right to rejoinder in accordance with the above policy, applicant is advised that the process claims should be amended during prosecution to require the limitations of the product claims. Failure to do so may result in a loss of the right to rejoinder. Further, note that the prohibition against double patenting rejections of 35 U.S.C. 121 does not apply where the restriction requirement is withdrawn by the examiner before the patent issues. See MPEP § 804.01.

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8. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Timothy P. Thomas whose telephone number is (571)

272-8994. The examiner can normally be reached on Monday-Thursday 6:30 a.m. -

5:00 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Ardin Marschel can be reached on (571) 272-0718. The fax phone number

for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/TPT/

Timothy P. Thomas

Patent Examiner

ARDIN H. MARSCHEL

SUPERVISORY PATENT EXAMINER

# Notice of References Cited Application/Control No. 10/540,378 Examiner Timothy P. Thomas Applicant(s)/Patent Under Reexamination MCNAUGHTON, JAMES L Page 1 of 1

## **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-6,471,974	10-2002	Rees et al.	424/405
	В	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	Н	US-			
	ı	US-			
	J	US-			
	К	US-			
	L	US-			
	М	US-			

# FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					
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	R					,
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	Т					

# NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.